

PATENT APPLICATION SERIAL NO. _

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

997 DBUTLER 00000051 08971344 11 395.00 OP





HOWREY & SIMON

Box No. 34 1299 Pennsylvania Avenue, N.W. Washington, D.C. 20004-2402

(202) 783-0800

REQUEST FORM FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. § 1.62

Docket Number	Anticipated Classification of this Application		Prior Application	
04990.0008-2	Class:	Subclass:	Examiner: B. Sisson	Art Unit: 1807

Address to:

Assistant Commissioner for Patents

Box FWC

Washington, D.C. 20231

This is a request for filing a □ continuation-in-part; X continuation; □ divisional application under 37 C.F.R. § 1.62 of prior application no. <u>08/216.538</u>, filed on <u>March 23. 1994</u>, entitled <u>SINGLE NUCLEOTIDE POLYMORPHISMS AND THEIR USE IN GENETIC ANALYSIS</u> by the following named inventors:

- 10 march			
Full Name of Inventor	GOELET	Philip &	
Residence & Citizenship	Cockeysville	Maryland	U.S.A
Post Office Address	301 Western Run Road	Cockeysville	Maryland, 21030/U.S.A.
Full Name of Inventor	KNAPP	Michael	R.
Residence & Citizenship	Baltimore	Maryland	U.S.A.
Post Office Address	2630 N. Calvert St.	Baltimore	Maryland, 21215/U.S.A.

The above-identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned under 37 C.F.R. § 1.62(g) as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application. (No new specification is required, 37 C.F.R. § 1.62(e).) (Note: 37 C.F.R. § 1.60 may be used for continuation or divisional applications where the prior application is not to be abandoned.)

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1.	X	Enter the unentered amendment previously filed on <u>June 17, 1997</u> under 37 C.F.R. § 1.116 in the prior application.
2.		A preliminary amendment is enclosed.
3.		This application is being filed by less than all the inventors named in the application. The Commissioner is requested under 37 CFR § 1.62(a) to delete the names of the following person or persons from the prior application who are not inventors of the invention being claimed in this

application:

9.

Claims	(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Calculations
150	Total Claims*	12- 20 =	0	x \$ 22 =	\$ 0.00
	Independent Claims*	2-3=	0	x \$ 82 =	\$ 0.00
2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000 2,000	Multiple Dependent Claim(s)* (if applicable)		+ \$ 270	\$ 0.00	
12 Marie 1997			Basic Fee		\$ 790.00
20 H.J.			Total of above cale	culations =	\$ 790.00
# 1	Reduction by 1/2 for filing as small entity (Note 37 C.F.R. §§ 1.9, 1.27 \$ 395.00 and 1.28). If applicable, verified statement must be attached.				
			GRAND TOTAL =		\$ 395.00

The filing fee is calculated on the basis of the claims existing in the prior application as amended at 1. and 2. A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27: is enclosed. X was filed in prior application number 08/216,538 and such status is still proper and desired (37 C.F.R. § 1.28(a)). 5. Χ The U.S. Patent and Trademark Office is hereby authorized to charge fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required, or credit any overpayment to Deposit Account No. 08-3038. A duplicate copy of this form is enclosed. Howrey & Simon check number 1995 in the amount of \$860.00 is enclosed. 6. Х A new oath or declaration in compliance with 37 C.F.R. § 1.63 is included since this application is a continuation-7. in-part that discloses and claims additional matter. Amend the specification by inserting before the first line the sentence: This application is a \square continuation-in-part; X continuation; \square division of

application no. 08/145,145 filed November 3, 1993.

Priority of foreign application number _

claimed under 35 U.S.C. § 119(a)-(d).

application no. 08/216,538, filed March 23, 1994, now abandoned, which is a continuation-in-part of

_ , filed on _

(REQUEST FORM FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. § 1.62, PAGE 3 OF 4)

1299 Pennsylvania Avenue, N.W. Washington, D.C. 20004-2402 Facsimile: (202) 383-7195

The certified copy has been filed in prior application number _____, filed _____.

Direct Telephone calls to Jeffrey I. Aeurbach at telephone 202-783-7451.

(REQUEST FORM FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. § 1.62, PAGE 4 OF 4)

It is understood that secrecy under 35 U.S.C	2. § 122 is hereby waived to the extent that if information or access is available to
any one of the applications in the file wrapper of a 3'	7 C.F.R. § 1.62 application, be it either this application or a prior application in
the same file wrapper, the U.S. Patent and Trademark	Office may provide similar information or access to all the other applications in
the same file wrapper.	. / /

		Signature
November 17, 1997 Date		Kevin W. McCabe, Reg. No. 41,182
X	Inventor Assignee of complete int Attorney or agent of reco Filed under 37 C.F.R. §	



November 17, 1997

Attorneys at Law 1299 Pennsylvania Ave., NW Washington, DC 20004-2402 (202) 783-0800

Box FWC

FAX (202) 383-6610

Assistant Commissioner for Patents Washington, D.C. 20231

> Continuation Under 37 C.F.R. § 1.62 of Patent Application No. 08/216,538 Re:

Appl. No. (to be assigned); Filed: (herewith)

For: SINGLE NUCLEOTIDE POLYMORPHISMS AND THEIR USE IN

GENETIC ANALYSIS

Inventors: Philip GOELET and Michael R. KNAPP

Our Ref: 04990.0008-2

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- A Request For File Wrapper Continuation application under 37 1. C.F.R. § 1.62 of prior Application No. <u>08/216,538</u>;
- A Petition for a three month extension of time for the parent 2. application, U.S. Appl. No. 08/216,538;
- Two (2) return postcards; and 3.
- Our Check No. 149878 for \$860.00 to cover: 4.

\$ 395.00 Filing fee for patent application;

\$ 465.00 Fee for EOT in parent application.

Silicon Valley Washington DC Los Angeles



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It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, including, but not limited to, any fees required for extensions of time to ensure copendency of parent Application No. <u>08/216,538</u> to our Deposit Account No. 08-3038. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Kevin W. McCabe (Reg. No. 41,182)

Enclosures